Modular Financial Solutions, Inc.
It's About Time...

Prospective Client Questionnaire

If you are interested in our complimentary, first time personal consultation, please take a few minutes to provide the information requested below and return it too us before your appointment. This will help us determine if we can assist you in your financial planning needs.

1. Since Modular Financial Solutions, Inc. is a personal financial planning firm, we provide our client's with personal service using the financial planning process. Our representative will explain this process to you at your personal consultation. Please note that we are not a brokerage house, insurance agency or other "product" oriented financial services company. We specialize in working with clients to help them achieve their financial goals. This is in contrast to a short term transactional relationship.

   A short term transactional relationship focuses on some narrow aspect of your finances, such as selecting an investment product or insurance policy. Although these transactions are important and we do provide assistance in these areas, we specialize in identifying the need for these products first through careful evaluation and analysis. If this short term, transactional relationship is the type of assistance you need, you will most likely not be a good "fit" for our firm.

   Do you believe that you need our personal financial planning approach?

   _____Yes ______No

If your answer is yes, you should fill out the rest of this questionnaire, return it to us and schedule an appointment with our firm. If your answer is no you will most likely be happier working with another type of firm.

2. What is the major reason you would like to work with a financial planner?

   _____I don't have the time or inclination to solve my financial problem which is ____

   _____I need ongoing advice to help me achieve my financial goals.

   _____Other (please describe)________________________________

   ____

   ____

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3. What aspect of your finances do you need help with?

_____Cashflow management
_____College funding
_____Retirement planning
_____Estate planning
_____Insurance coverages
_____New Business/second career
_____Investments
_____Other

4. If you would like a fee estimate, please provide the following information:

Your name ____________________Age ___

Your occupation ____________________

Address: ______________________________

____

Approximate Income (joint if married) ___________

Spouse's name ______________Age ___

Spouse's occupation ______________

Phone Number: ______________

Email Address: ______________

Approximate networth ___________

Do you have a will or trust?

______Yes ______No

Have you had to pay any Alternative Minimum Tax in the last five years?

____Yes _______No

Investment positions (e.g. ABC mutual fund, ACME 401(k) plan) by investment name and dollar value.

Please return this questionnaire to our office before your scheduled appointment. With this information we will be able to answer many of the questions you may have. We do look forward to discussing your financial planning needs with you.

*Preferred appointment time (day/evening) and date:*___________________________